

# Probing New Physics in Charm Couplings with Kaon and Other Hadron Processes

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## Outline of talk

- \* **Introduction**
- \* **Kaon processes**
- \* **Other hadron processes**
- \* **Conclusions**

## Introduction

- \* Existing data on various decays of hadrons and mixing of neutral mesons are consistent with the **loop-induced** nature of **flavor-changing neutral currents** (FCNC's) in the **standard model** (SM)
- \* They are also consistent with the unitarity of the **Cabibbo-Kobayashi-Maskawa** (CKM) matrix with three generations.
- \* Our understanding of the dynamics of flavor is nevertheless **not yet complete**.
  - **Physics beyond the SM** is expected to be detected in the near future.
- \* The continuing study of low-energy flavor-changing processes with increased precision will play a **crucial** role in the search for **new physics**.

## Anomalous couplings of quarks

- \* In many types of new physics, the new particles are heavier than their SM counterparts.
  - Their effects can be described by an **effective low-energy theory**.
- \* It is possible that the effect of new physics is **mainly to modify the SM couplings between gauge bosons and certain fermions**.
- \* **Anomalous top-quark couplings** have been much studied in the literature.
  - They are most tightly constrained by the  $b \rightarrow s\gamma$  decay.
  - This mode does not place severe constraints on the corresponding charm-quark couplings due to the relative smallness of the charm mass.
- \* It is thus **interesting** to explore **anomalous charm-quark couplings** subject to existing and future data.

## Effective interactions

- \* We focus on new physics affecting primarily the **charged weak currents** involving the **charm** quark.
- \* The effective Lagrangian for a general parametrization of the  $W$  boson interacting with an up-type quark  $U_k$  and a down-type quark  $D_l$  can be written as

$$\mathcal{L}_{UDW} = -\frac{g}{\sqrt{2}} V_{kl} \bar{U}_k \gamma^\mu [(1 + \kappa_{kl}^L) P_L + \kappa_{kl}^R P_R] D_l W_\mu^+ + \text{H.c.}$$

$g$  is the weak coupling constant, the anomalous couplings  $\kappa_{kl}^{L,R}$  are normalized relative to the CKM-matrix elements  $V_{kl}$ , and  $P_{L,R} = \frac{1}{2}(1 \mp \gamma_5)$ .

- \* In general,  $\kappa_{kl}^{L,R}$  are complex and therefore provide **new sources of CP violation**.

## Loop-induced processes

- \* The anomalous quark- $W$  couplings generate flavor-changing neutral-current interactions via
  - $\gamma$ - and  $Z$ -penguin diagrams



- box diagrams



- \* They therefore affect loop-induced processes, such as  $K \rightarrow \pi \nu \bar{\nu}$ ,  $K_L \rightarrow \ell^+ \ell^-$ , and neutral-meson mixing.

## Loop contributions

- \* The effective theory with anomalous couplings is **not renormalizable**
  - This results in **divergent** contributions to some of the processes we consider.
- \* These **divergences** are understood in the context of effective field theories as contributions to the coefficients of **higher-dimension operators**.
- \* Numerically, we will limit ourselves to the anomalous couplings, ignoring the higher-dimension operators.
- \* In so doing, we trade the possibility of obtaining precise predictions in specific models for **order-of-magnitude estimates** of the effects of **new physics** parameterized in a **model-independent** way.

## Loop evaluations

- \* Not having the knowledge about the new degrees of freedom, we adopt the **unitary gauge**, implying the loops contain only fermions and  $W$ -bosons.
- \* We follow the common procedure of using **dimensional regularization**, dropping the resulting pole in 4 dimensions, and identifying the renormalization scale  $\mu$  with the **scale of the new physics** underlying the effective theory.
- \* Our results thus contain a logarithmic term of the form  $\ln(\mu/m_W)$ .
  - We set  $\mu = \Lambda = 1 \text{ TeV}$  for definiteness.
- \* We also keep in our estimates those finite terms corresponding to contributions from SM quarks in the loops.
- \* In the SM limit ( $\kappa^{\text{L,R}} = 0$ ), after CKM unitarity is imposed, our results are **finite** and **reproduce those obtained in the literature** using  $R_\xi$  gauges.



## Effective Hamiltonians for $d\bar{d}' \rightarrow \nu\bar{\nu}, \ell\bar{\ell}$ induced by $\kappa$ 's

- \* The effective Hamiltonians generated at one loop by the anomalous charm couplings, at the  $m_W$  scale,

$$\begin{aligned} \mathcal{H}_{d\bar{d}' \rightarrow \nu\bar{\nu}}^\kappa &= \frac{\alpha G_F \lambda_c (\kappa_{cd}^L + \kappa_{cd'}^{L*})}{\sqrt{8} \pi \sin^2 \theta_W} \left( -3 \ln \frac{\Lambda}{m_W} + 4X_0(x_c) \right) \bar{d}' \gamma^\sigma P_L d \bar{\nu} \gamma_\sigma P_L \nu \\ &+ \frac{\alpha G_F \lambda_c \kappa_{cd}^R \kappa_{cd'}^{R*}}{\sqrt{8} \pi \sin^2 \theta_W} \left[ (4x_c - 3) \ln \frac{\Lambda}{m_W} + \tilde{X}(x_c) \right] \bar{d}' \gamma^\sigma P_R d \bar{\nu} \gamma_\sigma P_L \nu, \end{aligned}$$

$$\begin{aligned} \mathcal{H}_{d\bar{d}' \rightarrow \ell + \bar{\ell}}^\kappa &= \frac{\alpha G_F \lambda_c (\kappa_{cd}^L + \kappa_{cd'}^{L*})}{\sqrt{8} \pi} \left[ \left( 3 \ln \frac{\Lambda}{m_W} - 4Y_0(x_c) \right) \frac{\bar{d}' \gamma^\sigma P_L d \bar{\ell} \gamma_\sigma P_L \ell}{\sin^2 \theta_W} \right. \\ &\quad \left. + \left( -\frac{16}{3} \ln \frac{\Lambda}{m_W} + 8Z_0(x_c) \right) \bar{d}' \gamma^\sigma P_L d \bar{\ell} \gamma_\sigma \ell \right] \\ &+ \frac{\alpha G_F \lambda_c \kappa_{cd}^R \kappa_{cd'}^{R*}}{\sqrt{8} \pi} \left\{ \left[ (3 - 4x_c) \ln \frac{\Lambda}{m_W} + \tilde{Y}(x_c) \right] \frac{\bar{d}' \gamma^\sigma P_R d \bar{\ell} \gamma_\sigma P_L \ell}{\sin^2 \theta_W} \right. \\ &\quad \left. + \left[ \left( 8x_c - \frac{16}{3} \right) \ln \frac{\Lambda}{m_W} + \tilde{Z}(x_c) \right] \bar{d}' \gamma^\sigma P_R d \bar{\ell} \gamma_\sigma \ell \right\} \end{aligned}$$

## Effective Hamiltonians induced by $\kappa$ 's

\* From the box diagrams

$$\begin{aligned}
 \mathcal{H}_{d\bar{d}' \rightarrow \bar{d}d'}^\kappa = & \\
 & \frac{G_F^2 m_W^2}{8\pi^2} \lambda_c (\kappa_{cd}^L + \kappa_{cd'}^{L*}) \left( -\lambda_t x_t \ln \frac{\Lambda^2}{m_W^2} - \sum_q \lambda_q \mathcal{B}_1(x_q, x_c) \right) \bar{d}' \gamma^\alpha P_L d \bar{d} \gamma_\alpha P_L d \\
 & - \frac{G_F^2 m_W^2}{4\pi^2} \lambda_c \kappa_{cd}^R \kappa_{cd'}^{R*} \left( \lambda_t x_t \ln \frac{\Lambda^2}{m_W^2} + \sum_q \lambda_q \mathcal{B}_2(x_q, x_c) \right) \bar{d}' \gamma^\alpha P_L d \bar{d} \gamma_\alpha P_R d \\
 & - \frac{G_F^2 m_W^2}{4\pi^2} \lambda_c^2 x_c \left( \ln \frac{\Lambda^2}{m_W^2} + \mathcal{B}_3(x_c, x_c) \right) \left[ (\kappa_{cd}^R)^2 \bar{d}' P_R d \bar{d} P_R d + (\kappa_{cd'}^{R*})^2 \bar{d}' P_L d \bar{d} P_L d \right]
 \end{aligned}$$

$d' \neq d$ , terms linear in  $\kappa^L$  and quadratic in  $\kappa^R$  are kept,  $\lambda_q = V_{qd'}^* V_{qd}$ ,  $\theta_W$  is the Weinberg angle,  $X_0, Y_0, Z_0, \tilde{X}, \tilde{Y}, \tilde{Z}$ , and  $\mathcal{B}_{1,2,3}$  are loop functions.

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

- \* The dominant contribution in the SM comes from the top loop

$$\mathcal{M}_{\text{SM}}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \frac{G_F}{\sqrt{2}} \frac{\alpha V_{td} V_{ts}^* X(x_t)}{2\pi \sin^2 \theta_W} \langle \pi^+ | \bar{s} \gamma_\mu d | K^+ \rangle \bar{\nu} \gamma^\mu (1 - \gamma_5) \nu$$

- \* The combined SM and anomalous-charm contribution

$$\mathcal{M}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1 + \delta) \mathcal{M}_{\text{SM}}(K^+ \rightarrow \pi^+ \nu \bar{\nu}),$$

$$\delta = \frac{V_{cd} V_{cs}^*}{V_{td} V_{ts}^*} \frac{(\kappa_{cd}^L + \kappa_{cs}^{L*}) [-3 \ln(\Lambda/m_W) + 4X_0(x_c)]}{4X(x_t)} + \mathcal{O}(\kappa^2)$$

- \* The SM branching ratio

$$\mathcal{B}_{\text{SM}}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.5 \pm 0.7) \times 10^{-11}$$

Buras et al.  
Mescia & Smith  
Brod & Gorbahn

- \* Its experimental value  $\mathcal{B}_{\text{exp}} = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$

Artamonov et al.

- \* We then require  $-0.2 \leq \text{Re } \delta \leq 1$ , which translates into

$$-2.5 \times 10^{-4} \leq -\text{Re}(\kappa_{cd}^L + \kappa_{cs}^L) + 0.42 \text{Im}(\kappa_{cd}^L - \kappa_{cs}^L) \leq 1.3 \times 10^{-3}$$

$$K_L \rightarrow \mu^+ \mu^-$$

- \* The dominant short-distance SM contribution is also due to the top loop

$$\mathcal{M}_{\text{SM}}^{\text{SD}}(K^0 \rightarrow \mu^+ \mu^-) = -\frac{G_F}{\sqrt{2}} \frac{\alpha V_{td} V_{ts}^* Y(x_t)}{2\pi \sin^2 \theta_W} \langle 0 | \bar{s} \gamma^\sigma \gamma_5 d | K^0 \rangle \bar{\mu} \gamma_\sigma \gamma_5 \mu$$

- \* The total SD amplitude

$$\mathcal{M}_{\text{SD}}(K_L \rightarrow \mu^+ \mu^-) = (1 + \delta') \mathcal{M}_{\text{SM}}^{\text{SD}}(K_L \rightarrow \mu^+ \mu^-),$$

$$\delta' = \frac{\text{Re}[V_{cd}^* V_{cs} (\kappa_{cs}^L + \kappa_{cd}^{L*})] [-3 \ln(\Lambda/m_W) + 4Y_0(x_c)]}{4 \text{Re}(V_{td}^* V_{ts}) Y(x_t)} + \mathcal{O}(\kappa^2)$$

- \* The measured  $\mathcal{B}(K_L \rightarrow \mu^+ \mu^-) = (6.84 \pm 0.11) \times 10^{-9}$  PDG  
is almost saturated by the absorptive part of the long-distance contribution,  
 $\mathcal{B}_{\text{abs}} = (6.64 \pm 0.07) \times 10^{-9}$ . Littenberg & Valencia
- \* The allowed room for new physics,  $\mathcal{B}_{\text{NP}} \lesssim 3.8 \times 10^{-10}$ , has an upper bound  
 $\sim \frac{1}{2}$  the SD SM contribution,  $\mathcal{B}_{\text{SM}}^{\text{SD}} = (7.9 \pm 1.2) \times 10^{-10}$ . Gorbahn & Haisch
- \* Consequently, we demand  $|\delta'| \leq 0.2$ , implying

$$\left| \text{Re}(\kappa_{cs}^L + \kappa_{cd}^L) + 6 \times 10^{-4} \text{Im}(\kappa_{cs}^L - \kappa_{cd}^L) \right| \leq 1.5 \times 10^{-4}$$

## $K-\bar{K}$ mixing

- \* The matrix element for  $K^0-\bar{K}^0$  mixing  $M_{12}^K = \langle K^0 | \mathcal{H}_{d\bar{s} \rightarrow \bar{d}s} | \bar{K}^0 \rangle / (2m_K)$  consists of SM and new-physics terms.
- \* The anomalous charm contribution

$$M_{12}^{K,\kappa} = \frac{G_F^2 m_W^2}{24\pi^2} f_K^2 m_K \lambda_c^{ds} \left[ \bar{\eta}^3 B_K (\kappa_{cd}^{L*} + \kappa_{cs}^L) \left( -\lambda_t^{ds} x_t \ln \frac{\Lambda^2}{m_W^2} - \sum_q \lambda_q^{ds} \mathcal{B}_1(x_q, x_c) \right) \right. \\ \left. + \frac{\bar{\eta}^{3/2} B_K m_K^2}{(m_d + m_s)^2} \kappa_{cd}^{R*} \kappa_{cs}^R \left( \lambda_t^{ds} x_t \ln \frac{\Lambda^2}{m_W^2} + \sum_q \lambda_q^{ds} \mathcal{B}_2(x_q, x_c) \right) \right]$$

$$\lambda_q^{ds} = V_{qd}^* V_{qs}$$

- \* The  $K_L-K_S$  mass difference  $\Delta M_K = 2 \text{Re} M_{12}^K + \Delta M_K^{\text{LD}}$  contains a sizable long-distance term,  $\Delta M_K^{\text{LD}}$ .
- \* Since the LD part has significant uncertainties, we constrain the  $\kappa$ 's by requiring that their contribution to  $\Delta M_K$  be less than the largest SM contribution, arising from the charm loop,

$$M_{12}^{K,\text{SM}} \simeq \frac{G_F^2 m_W^2}{12\pi^2} f_K^2 m_K B_K \eta_{cc} (\lambda_c^{ds})^2 S_0(x_c)$$

## $K-\bar{K}$ mixing

- \* As a result

$$|0.043 \operatorname{Re}(\kappa_{cd}^L + \kappa_{cs}^L) + 0.015 \operatorname{Im}(\kappa_{cd}^L - \kappa_{cs}^L) - \operatorname{Re}(\kappa_{cd}^{R*} \kappa_{cs}^R) + 0.28 \operatorname{Im}(\kappa_{cd}^{R*} \kappa_{cs}^R)| \leq 8.5 \times 10^{-4}$$

- \* A complementary constraint on the couplings can be obtained from the  $CP$ -violation parameter  $\epsilon$ .
- \* Its magnitude is related to  $M_{12}^K$  by

$$|\epsilon| \simeq \frac{|\operatorname{Im} M_{12}^K|}{\sqrt{2} \Delta M_K^{\text{exp}}}, \quad \Delta M_K^{\text{exp}} = (3.483 \pm 0.006) \times 10^{-15} \text{ GeV}$$

- \* Measurements yield  $|\epsilon|_{\text{exp}} = (2.229 \pm 0.012) \times 10^{-3}$  PDG
- \* The SM predicts  $|\epsilon|_{\text{SM}} = (2.06_{-0.53}^{+0.47}) \times 10^{-3}$  CKMfitter
- \* We thus demand  $|\epsilon|_{\kappa} < 0.7 \times 10^{-3}$ , leading to

$$|0.015 \operatorname{Re}(\kappa_{cs}^L + \kappa_{cd}^L) + 0.043 \operatorname{Im}(\kappa_{cs}^L - \kappa_{cd}^L) - 0.28 \operatorname{Re}(\kappa_{cd}^{R*} \kappa_{cs}^R) - \operatorname{Im}(\kappa_{cd}^{R*} \kappa_{cs}^R)| \leq 2.5 \times 10^{-6}$$

## Constraints from dipole penguin operators

- \* Electromagnetic and chromomagnetic dipole operators describing  $d \rightarrow d' \gamma$  and  $d \rightarrow d' g$  are generated at one loop with  $W$  and up-type quark in the loop.
  - New-physics effects are known to give rise to potentially large corrections to SM contribution.
- \* Constraints on the  $\kappa$ 's can be obtained from
  - $b \rightarrow s \gamma$
  - $s \rightarrow d \gamma$
  - $s \rightarrow d g$  contribution to  $CP$ -violation parameters  $\epsilon$  and  $\epsilon'$  in the kaon sector and  $A_{\Lambda \Xi}$  in hyperon nonleptonic decays
- \* The corresponding flavor-conserving contributions to the electric dipole moment of the neutron also provide constraints on some of the  $\kappa$ 's.

## $B_{d,s}$ processes

- \*  $B_d$ - $\bar{B}_d$  mixing
- \*  $CP$ -violation parameter  $\beta$  in  $B_d \rightarrow J/\psi K_S$ 
  - $\kappa$  terms in both mixing & decay amplitudes.
- \*  $B_s$ - $\bar{B}_s$  mixing
- \*  $CP$ -violation parameter  $\beta_s$  in  $B_s \rightarrow J/\psi\phi$ 
  - $\kappa$  terms in both mixing & decay amplitudes



## Tree-level processes

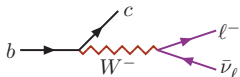
- \* Anomalous charm- $W$  couplings affect some transitions at tree level.

- \*  $CP$ -conserving processes

- $(d, s)\bar{c} \rightarrow \ell^- \bar{\nu}_\ell$

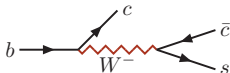


- $b \rightarrow ce^- \bar{\nu}_e$



- \*  $CP$ -violating processes

- $b \rightarrow c\bar{c}s$



- \* Decay constants  $f_D$  and  $f_{D_s}$  in  $D \rightarrow \ell\nu$  &  $D_s \rightarrow \ell\nu$ .
- \* Exclusive & inclusive  $b \rightarrow c\ell^- \bar{\nu}_\ell$  decays.
- \* Difference in  $\sin\beta$  values from  $B_d \rightarrow J/\psi K$  and  $B_s \rightarrow \eta_c K$ .

# Summary of constraints

Process	Constraint
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$-1.3 \times 10^{-3} \leq \text{Re}(\kappa_{cd}^L + \kappa_{cs}^L) + 0.42 \text{Im} \kappa_{cs}^L \leq 2.5 \times 10^{-4}$
$K_L \rightarrow \mu^+ \mu^-$	$ \text{Re}(\kappa_{cs}^L + \kappa_{cd}^L) + 6 \times 10^{-4} \text{Im} \kappa_{cs}^L  \leq 1.5 \times 10^{-4}$
$\Delta M_K$	$ 0.043 \text{Re}(\kappa_{cd}^L + \kappa_{cs}^L) - 0.015 \text{Im} \kappa_{cs}^L - \text{Re}(\kappa_{cd}^{R*} \kappa_{cs}^R) + 0.28 \text{Im}(\kappa_{cd}^{R*} \kappa_{cs}^R)  \leq 8.5 \times 10^{-4}$
$\epsilon$	$ 0.015 \text{Re}(\kappa_{cs}^L + \kappa_{cd}^L) + 0.043 \text{Im} \kappa_{cs}^L - 0.28 \text{Re}(\kappa_{cd}^{R*} \kappa_{cs}^R) - \text{Im}(\kappa_{cd}^{R*} \kappa_{cs}^R)  \leq 2.5 \times 10^{-6}$
$\Delta M_d$	$-0.031 \leq \text{Re}(\kappa_{cb}^L + \kappa_{cd}^L) + 0.4 \text{Im} \kappa_{cb}^L \leq 0.003$
$\sin(2\beta)$	$-1.5 \times 10^{-3} \leq 0.4 \text{Re}(\kappa_{cb}^L + \kappa_{cd}^L) - 0.69 \text{Im} \kappa_{cb}^L - 0.31 \text{Im} \kappa_{cs}^L \leq 0.012$
$\Delta M_s$	$-0.014 \leq \text{Re}(\kappa_{cs}^L + \kappa_{cb}^L) + 0.018 \text{Im}(\kappa_{cs}^L - \kappa_{cb}^L) \leq 0.015$
$\sin(2\beta_s)$	$-0.09 \leq 0.026 \text{Re}(\kappa_{cb}^L + \kappa_{cs}^L) + \text{Im}(\kappa_{cb}^L - \kappa_{cs}^L) \leq 7 \times 10^{-4}$
$D \rightarrow \ell \nu$	$ \text{Re}(\kappa_{cd}^L - \kappa_{cd}^R)  \leq 0.04$
$D_s \rightarrow \ell \nu$	$0 \leq \text{Re}(\kappa_{cs}^L - \kappa_{cs}^R) \leq 0.1$
$b \rightarrow c \ell \bar{\nu}$	$-0.13 \leq \text{Re} \kappa_{cb}^R \leq 0$
$B \rightarrow \psi K, \eta_c K$	$-5 \times 10^{-4} \leq \text{Im}(\kappa_{cb}^R + \kappa_{cs}^R) \leq 0.04$

## Constraint on each anomalous charm coupling

- \* Constraints extracted by taking only one anomalous coupling at a time to be non-zero.

$0 \leq \text{Re } \kappa_{cd}^L \leq 1.5 \times 10^{-4}$	$(\text{Im } \kappa_{cd}^L = 0)$
$0 \leq \text{Re } \kappa_{cs}^L \leq 1.5 \times 10^{-4}$	$-6 \times 10^{-5} \leq \text{Im } \kappa_{cs}^L \leq 6 \times 10^{-5}$
$-4 \times 10^{-3} \leq \text{Re } \kappa_{cb}^L \leq 3 \times 10^{-3}$	$-0.02 \leq \text{Im } \kappa_{cb}^L \leq 7 \times 10^{-4}$
$-0.04 \leq \text{Re } \kappa_{cd}^R \leq 0.04$	$-2 \times 10^{-3} \leq \text{Im } \kappa_{cd}^R \leq 2 \times 10^{-3}$
$-0.1 \leq \text{Re } \kappa_{cs}^R \leq 0$	$-5 \times 10^{-4} \leq \text{Im } \kappa_{cs}^R \leq 2 \times 10^{-3}$
$-0.13 \leq \text{Re } \kappa_{cb}^R \leq 0$	$-5 \times 10^{-4} \leq \text{Im } \kappa_{cb}^R \leq 0.04$

Only 2 relative phases among the 3 left-handed charm- $W$  couplings are physical and accordingly  $\phi_{cd}^L=0$  is chosen.

- \* The **left-handed** couplings are **much more constrained** than the **right-handed** one.
- \* The **imaginary part** of the couplings is **more tightly constrained** than the corresponding **real part**.
- \* The **largest deviations allowed** by current data appear in the **real part of the right-handed** couplings, which can be as large as **10%** of the corresponding SM couplings.

## Conclusions

- \* We have explored the phenomenological consequences of **anomalous  $W$ -boson couplings to the charm quark** in a **comprehensive way**.
- \* **Kaon processes** can be seen to have yielded some of the **strongest bounds** on the couplings.
- \* The resulting constraints on the anomalous charm couplings are, perhaps surprisingly, **comparable** or **tighter** than existing constraints on anomalous  $W$ -boson couplings to the top quark.
- \* Our study also **indicates out which future measurements can provide the most sensitive tests** for **new physics that can be parameterized with anomalous charm- $W$  couplings**.